

## Quick Export Control Overview

- Two primary sets of export control regulations
  - Export Administration Regulations (EAR)
    - Regulates export of commercial items with potential military applications (“dual-use” items)
    - Administered by Department of Commerce, Bureau of Industry and Security (BIS) <http://www.bis.doc.gov/>
  - International Traffic in Arms Regulations (ITAR)
    - Regulates export of items and services designed specifically for military applications
    - Administered by Department of State, Directorate of Defense Trade Controls (DDTC) <https://www.pmdtdc.state.gov/>
- Additional restrictions due to economic sanctions
  - A result of foreign policy
  - Specific to certain countries, entities and individuals
  - Administered by Department of Treasury, Office of Foreign Asset Control (OFAC)
  - <https://www.treasury.gov/about/organizational-structure/offices/Pages/Office-of-Foreign-Assets-Control.aspx>
- Regulations cover almost all areas of science and engineering
  - Can apply to objects, information, and in some cases, services
  - Items can be considered exported without leaving the United States (“deemed exports”)
  - Prohibitions against unlicensed exports of certain items or information
  - Exclusions exist for fundamental research
  - Criminal and/or civil penalties can be applied to individuals and/or institutions
- **UNM Office of Research Compliance: Export Control**  
<http://researchcompliance.unm.edu/exportcontrol>
  - Can help determine if licenses are required and when exclusions apply
  - Can help obtain necessary licenses
  - Has access to many export control resources

## **UNM Office of Research Compliance: Export Control**

<http://researchcompliance.unm.edu/exportcontrol>

### **Export Control Compliance Officer**

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#### **Contact**

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### **Other Resources:**

“Getting Started with Defense Trade”

[https://www.pmdtc.state.gov/documents/ddtc\\_getting\\_started.pdf](https://www.pmdtc.state.gov/documents/ddtc_getting_started.pdf)

<https://www.export.gov/article?id=Export-Education>

<http://www.bis.doc.gov/index.php/compliance-a-training/export-administration-regulations-training/online-training-room>

<https://www.pmdtc.state.gov/index.html>

<https://www.treasury.gov/about/organizational-structure/offices/Pages/Office-of-Foreign-Assets-Control.aspx>